



Book No.

BHARATHI SHARE BROKING PRIVATE LIMITED

DEPOSITORY PARTICIPANT - CDSL DP SEBI REG NO.: IN-DP-735-2022

Flat No.9, 2nd Floor, Sucons Padmalaya,

Old No.3, New No. 5, Venkatanarayana Road, T. Nagar, Chennai - 600 017.

Phone : 044 - 2433 2492, 2435 5810

E-mail : services@bharathidirect.com; bharathibroking@bharathidirect.com

Website : www.bharathidirect.com

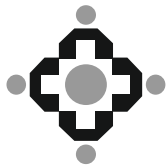
| | | | | | | | | | | | | |
|-------|---|---|---|---|---|---|---|---|-----------|---|---|--|
| DP ID | 1 | 2 | 0 | 9 | 7 | 3 | 0 | 0 | Client ID | 0 | 0 | |
|-------|---|---|---|---|---|---|---|---|-----------|---|---|--|

Instruction Slip for Delivery / Receipt

Sl. No. : From _____ To _____

Instruction for the Beneficial Owners (BOs)

1. The BO should ensure that his BO ID is prestamped on the instruction slips before his DP issues the instruction slip book to him.
2. BO should use separate Instruction Slip for different accounts maintained by him. For e.g. if a BO has two accounts with a DP he should use two separate Instruction Slip books for these two accounts. Use of one instruction Slip Book for two separate BO accounts shall not be permitted.
3. The BO should use only those instruction slips, which are issued by his DP. Use of any other instruction slips would be at BO's own risk.
4. **The BO should use separate instruction slips for confirming his On-Market and Off-Market obligations/transfers.**
5. **The BO should use separate instruction slips for Delivery and Receipt Instructions.**
6. The instruction slip has 3 separate rows for information of the counter party. The BO must fill up any one of the applicable row as under.
 - **Transfer from/to another account on CDSL** = Here the BO has to fill in the counter BO/CM Account No. and DP ID on CDSL.
 - **Transfer from/to a BO account on NSDL** = Here the BO has to accurately enter the Target Client ID or the Target DP ID.
 - **Transfer from/to the CM Pool account on NSDL** = Here the BO has to accurately enter the Settlement ID (i.e. Settlement Type and Settlement No.) and the CM-BP ID. The BO must fill up the Settlement ID before submitting the instruction slip to the DP. The BO may inquire about the same from his broker or his DP.
 - **Early pay-in transactions - Direct delivery by BOs to the early pay-in accounts in order to save margin.**
 - **In case of multiple instructions for the three cases mentioned above, the BO must use separate instruction slips.**
7. The BO should obtain the DP's acknowledgement / receipt stamp and retain/preserve the counterfoil / copy of the instruction slips in safe custody.
8. The BO should keep the instruction slips in proper custody. In case of any loss/misplacement of the same he should intimate his DP immediately.
9. The BO must strike off the unused blank rows on the instruction slips with two thick firm lines so as to prevent misuse of the same.
10. The BO should fill in all the columns in the instructions slip especially the Settlement ID and the Stock Exchange name on which he has traded before submitting the instruction slip to the DP. The BO may inquire about the Settlement ID from his broker or his DP and fill it up.
11. The BO should mention in writing the Total Number of Instructions used by him.
12. The BO should never sign a blank instruction slip.
13. In case of a Joint Account, all the account holders must sign the instruction slip.
14. All the holders must confirm any alteration, correction or cancellation made on the instruction slip with their signatures.



CDSL
Your Depository

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Website : www.bharathidirect.com



Client Phone No.:

Serial No. :

I / We request you to debit / credit my / our accounts as under :

INSTRUCTION SLIP FOR DELIVERY / RECEIPT

DP ID

BO (CLIENT) ID **

Delivery ☐

Receipt ☐

Date : / / 20.....

| 1 2 0 9 7 3 0 0 0 0 | | | | | | | | | | First / Sole Holder's Name | | | | | | | | | |
|---|------|---------------|------------|----------|---|------|------|-----|--|--|--|--|--|--|--|--|--|--|--|
| Sr. No. | ISIN | Security Name | Quantity | | Instruction Ref. No. (To be filled by DP) | | | | | | | | | | | | | | |
| | | | In Figures | In Words | | | | | | | | | | | | | | | |
| 1. | I N | | | | | | | | | | | | | | | | | | |
| 2. | I N | | | | | | | | | | | | | | | | | | |
| 3. | I N | | | | | | | | | | | | | | | | | | |
| 4. | I N | | | | | | | | | | | | | | | | | | |
| 5. | I N | | | | | | | | | | | | | | | | | | |
| 6. | I N | | | | | | | | | | | | | | | | | | |
| Total Instruction Issued (In words only) | | | One | Two | Three | Four | Five | Six | | | | | | | | | | | |
| If transfers from BO (Investor) account to another BO (Investor) account & NOT RELATED to Stock Exchange transactions Please mention consideration amount in Rs. (OR) Please specify reason (as given below) <input type="checkbox"/> Gift <input type="checkbox"/> Transfer between two accounts of same holder <input type="checkbox"/> Transfer between family members <input type="checkbox"/> Others (explain) | | | | | | | | | | CMID (Applicable for Early Pay-in and Normal Pay-in) | | Execution Date (Not Applicable for Early Pay-in and Normal Pay-in) | | | | | | | |

Fill the relevant columns

| Instruction Type | Account Transfer - With in CDSL (Transaction) | | Account Transfer - Outside CDSL (Inter depository) | | Market Trades (Settlements) | |
|----------------------------|---|---------------------|--|---------------------|-----------------------------|----------------|
| Settlement Details | BO-BO | BO-CM, CM-BO, CM-CM | BO-BO | BO-CM, CM-BO, CM-CM | Early Pay-in | Normal Pay-in |
| Exchange Name | | | | | | |
| Settlement / Market Type | | | | | | |
| Settlement Number | | | | | | |
| Counter DP ID / CM BPID | | | I N | I N | | NOT APPLICABLE |
| Counter Client ID | | | | | | NOT APPLICABLE |
| Counter BO/CM Name | | | | | Rubber Stamp | |
| Counter settlement Details | | CM-CM | | CM-CM | | |
| Exchange Name | NOT APPLICABLE | | NOT APPLICABLE | | | |
| Settlement / Market Type | NOT APPLICABLE | | NOT APPLICABLE | | | |
| Settlement Number | NOT APPLICABLE | | NOT APPLICABLE | | | |

Blank & Signed Delivery Instruction slips should not be left with your DP / Broker

| | | |
|----------------------------------|----------------------------|---------------------------|
| | | |
| Signature of First / Sole Holder | Signature of Second Holder | Signature of Third Holder |

For DPs office use only

| | | |
|-------------------|-----------------------|------------------------|
| Internal Ref. No. | Signature Verified By | Transaction Entered By |
|-------------------|-----------------------|------------------------|

Instruction : 1. In case of joint holdings, all joint holders must sign. 2. For Pay in related instruction, the slip should be submitted by 4.00 pm prior to the date of pay in. The instruction shall be executed on a "best effort" basis for slips received after this deadline. This may lead to failed trades and BSBPL will not liable for the same. 3. As per depository guidelines you have to specify the ISIN in the instruction slip. If ISIN is not mentioned, the instruction will be executed in a "Best effort" basis. This could lead to failed trades and BSBPL will not liable for the same.



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Date :

| | | | | | | | | | | | | |
|-------|---|---|---|---|---|---|---|---|-----------|---|---|--|
| DP ID | 1 | 2 | 0 | 9 | 7 | 3 | 0 | 0 | Client ID | 0 | 0 | |
|-------|---|---|---|---|---|---|---|---|-----------|---|---|--|

Kindly issue _____ instruction book to the bearer of this letter whose Signature is attested below.

| | Name | Signature |
|---------------------|------|-----------|
| First / Sole Holder | | |
| Second Holder | | |
| Third Holder | | |
| Bearer | | |

New Book No. _____ Sl.No. From _____ to _____

Current Book No. _____ Sl.No. From _____ to _____

In case you have grievances against a listed company or intermediary registered with SEBI, you should first approach the concerned company or Intermediary against whom you have a grievance. If you are not satisfied with their response, you may approach SEBI or other regulatory bodies. You can approach SEBI for following types of grievances :

| | |
|---|---|
| Listed Companies <ul style="list-style-type: none">● Refund / Allotment / Bonus / Dividend / Rights / Redemption / Interest● Prelisting offer documents (shares)● Prelisting offer documents (debentures and bonds)● Delisting of Securities● Buyback of Securities● Takeover and Restructuring● Corporate Governance and Listing Conditions | Brokers and stock exchanges Stock brokers Sub brokers Portfolio managers Stock exchanges |
| Register and Transfer Agents | Other entities Collective Investment Schemes Debenture Trustees Merchant Bankers Bankers to Issue Credit Rating Agencies Custodian of Securities Foreign Institutional Investors Underwriters Venture Capital Funds KYC Registration Agency (KRA) Alternative Investment Fund |
| Mutual Funds | |
| Depository and Depository participants | |
| Information to SEBI : <ul style="list-style-type: none">● Price Manipulation● Insider trading | |
| You can file your compliants online at http://scores.gov.in or alternately send your compliants to Office of Investor Assistance and Education of SEBI at Mumbai or Regional Offices at the following addresses : | |
| <ul style="list-style-type: none">● <u>Office of Investor Assistance and Education</u>, SEBI Bhavan, Plot No.C4-A, 'G' Block, Bandra Kurla Complex, Bandra (E), Mumbai - 400 021. Tel : 022 - 26449188 / 26449199 (http://scores.gov.in) | |
| <ul style="list-style-type: none">● SEBI, Northern Regional Office, 5th Floor, Bank of Baroda Building, 16, Sansad Marg, New Delhi - 110 001. Tel : 011 - 23724001-05 (www.sebinro@sebi.gov.in) | |
| <ul style="list-style-type: none">● SEBI, Eastern Regional Office, L& T Chambers, 3rd Floor, 16, Camac Street, Kolkata - 700 016. Tel : 033 - 23023000 (www.sebiero@sebi.gov.in) | |
| <ul style="list-style-type: none">● SEBI, Southern Regional Office, 7th Floor, Overseas Towers, 756-L, Anna Salai, Chennai - 600 002. Tel : 044- 24674000 / 24674150 (www.sebisro@sebi.gov.in) | |
| <ul style="list-style-type: none">● SEBI, Ahmedabad Regional, Office Unit No.002, Ground Floor, SAKAR I, Near Gandhigram Railway Station, Opp. Nehru Bridge Ashram Road, Ahmedabad - 380 009. Tel : 079 - 26583633-35 (www.sebiaro@sebi.gov.in) | |
| For more information visit our website - http://scores.gov.in | |

